

Separately Continuous Functions: Approximations, Extensions, and Restrictions*

Zbigniew Piotrowski

Robert W. Vallin

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Abstract

A function $f(x, y)$ is separately continuous if at any point the restricted functions $f_x(y)$ and $f_y(x)$ are continuous as functions of one variable. In this paper we take several results which had been obtained for other generalized continuities and apply them to functions which are separately continuous.

1 Introduction

We will work in this paper with functions f from $\mathbb{R} \times \mathbb{R}$ into \mathbb{R} , but note here that many of the definitions and results lend themselves to a domain of \mathbb{R}^n . Cauchy, in 1821, wrote that a function of several variables which is continuous in each variable separately, is continuous as a function of all the variables. This is, of course, false, the first counter-example appearing in 1873. We will write this example as

Example 1

$$f(x, y) = \begin{cases} \frac{2xy}{x^2+y^2} & (x, y) \neq (0, 0) \\ 0 & (x, y) = (0, 0) \end{cases} .$$

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This function is continuous everywhere except $(0, 0)$, where it is continuous along the lines $x = 0$ and $y = 0$.

The fact that this is continuous when reduced to a one variable function, but not as a function of two variables leads us to the following definition.

Definition 2 Let $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$. For every fixed $x \in \mathbb{R}$, the function $f_x : \mathbb{R} \rightarrow \mathbb{R}$ defined by

$$f_x(y) = f(x, y)$$

is called an x -section of f . The y -section is similarly defined.

We say $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ is separately continuous if each x -section and each y -section is a continuous function

So our first example tells us that a function which is separately continuous in both variables is not the same as a continuous function. In this paper we will also work with another generalization of continuous functions called quasi-continuous functions and some variations of that notion. We state their definitions here.

Definition 3 Let $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$. We say that

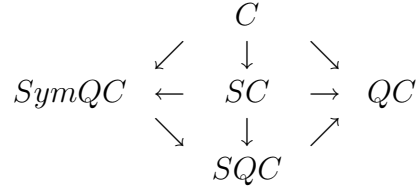
1. f is quasi-continuous at (x, y) if for each U and V open in \mathbb{R} with $(x, y) \in U \times V$ and open set $W \subset \mathbb{R}$ where $f(x, y) \in W$ there is an open set $U' \subset U$ and an open set $V' \subset V$ such that

$$f(U' \times V') \subset W.$$

2. f is quasi-continuous with respect to x (alternatively y) if we also insist $x \in U'$ ($y \in V'$).
3. f is symmetrically quasi-continuous if it is quasi-continuous with respect to x and with respect to y .

The relationships between these various notions are summarized in the following diagram where C represents the continuous functions, SC the separately continuous functions, QC the quasi-continuous functions, SQC the

separately quasi-continuous functions, and $SymQC$ the symmetrically quasi-continuous functions.



There are an abundance of examples to show that none of these arrows may be reversed.

We note here a major difference between separately continuous and quasi-continuous functions is the so-called Sierpinski property ([8]). The property concerns the ability of a function to be uniquely based on its values on a dense set in the domain.

Sierpinski Property Any real-valued separately continuous function is determined by its values on any dense subset of the domain space. That is, if f and g are separately continuous and agree on a dense in the domain set D , then f and g agree everywhere.

The following example shows that the Sierpinski Property does not hold even for symmetrically quasi-continuous functions.

Example 4 Let f and g from $\mathbb{R} \times \mathbb{R}$ into \mathbb{R} be defined by

$$f(x, y) = \begin{cases} \sin\left(\frac{1}{x^2+y^2}\right) & (x, y) \neq (0, 0) \\ 1 & (x, y) = (0, 0) \end{cases}$$

and

$$g(x, y) = \begin{cases} \sin\left(\frac{1}{x^2+y^2}\right) & (x, y) \neq (0, 0) \\ 0 & (x, y) = (0, 0) \end{cases}.$$

Then g and f are symmetrically quasi-continuous and agree on the dense set $\mathbb{R}^2 \setminus \{0\}$, but are not equal.

In this paper, we will look at several different types of results for functions having various generalizations of continuity and reformulate them in terms of separately continuous functions. Various examples will also be given to show that separate continuity is an important ingredient in the hypotheses.

2 Approximations

Many papers have been written concerning approximating a function as a pointwise limit. Probably the most well-known class of pointwise limits are the Baire one functions, the functions which are the pointwise limit of continuous functions. In this section we shall show that separately continuous functions from \mathbb{R}^2 to \mathbb{R} are a pointwise limit of what we call planar approximation functions. This section was motivated by the following result from [9] concerning a type of almost continuous function. A function $f : [0, 1] \rightarrow \mathbb{R}$ is called almost continuous (in the sense of Stallings) if for every open set U containing the graph of f there is a continuous $g : [0, 1] \rightarrow \mathbb{R}$ whose graph is contained in U .

Theorem 5 *Every almost continuous (in the sense of Stallings) function $f : [0, 1] \rightarrow \mathbb{R}$ is polygonally almost continuous.*

Another way of saying this is, for every open set, U , containing the graph of f there is in U the graph of a polygonal function $g : [0, 1] \rightarrow \mathbb{R}$ whose vertices lie on the graph of f . Our way of looking at this is that there is a sequence of polygonal functions converging pointwise to f . We wish to redo this result in terms of functions whose domain is the unit square.

Instead of approximations by line segments, we approximate by pieces of a plane. Let $f : [0, 1] \times [0, 1] \rightarrow \mathbb{R}$. We define $P_n(x, y)$ the planar approximations to f as follows: For $n = 0$, we start with the unit square and divide it into two triangles by splitting it along the diagonal joining $(1, 0)$ and $(0, 1)$. So our first triangle has corners $(0, 0)$, $(1, 0)$, and $(0, 1)$ while the second triangle has corners $(1, 0)$, $(0, 1)$, and $(1, 1)$. For each triangle we find the image of the corner points and using the triples $(x, y, f(x, y))$ we create a planar region through these triples. Adjoining the two planar regions we obtain our first planar approximation, $P_0(x, y)$.

At stage n , divide the unit square into 2^n subsquares of side length $1/2^n$. Then divide each square into two triangles for a total of 2^{n+1} triangles. So each triangle has vertices (x_1, y_1) , (x_2, y_2) , and (x_3, y_3) and we use $(x_i, y_i, f(x_i, y_i))$ $i = 1, 2, 3$ to create a section of a plane in \mathbb{R}^3 . Joining these sections together make $P_n(x, y)$ where for a given (x, y) we have $P_n(x, y)$ is the z -value of the plane section above that point. Let us note here (for use later) that the collection of all the corner points of the triangles used in the approximations is dense in the unit square and will be denoted by T .

For some f we cannot recover the function using these P_n . By this we mean $P_n(x, y) \not\rightarrow f(x, y)$ for some point (x, y) . We now show that separately continuous functions are *planar approximable*. That is, if $f(x, y)$ is separately continuous, then the planar approximation of f converges pointwise to f .

Theorem 6 *If $f(x, y)$ is separately continuous, then $P_n(x, y)$ converges pointwise to $f(x, y)$.*

Proof: First, if (x, y) is a corner point of a triangle, the conclusion is obvious.

Second, if (x, y) is a part of a horizontal or vertical boundary for a triangle (without loss of generality assume (x, y) is part of a horizontal boundary), but not a corner point, then (x, y) is a bilateral limit of corner points $(x_n, y_n)_r$ and $(x_n, y_n)_l$ where r and l refer to left and right side, respectively, of the n th triangle containing (x, y) in its boundary. Since f is separately continuous,

$$P_n(x_n, y_n) = f(x_n, y_n) \rightarrow f(x, y)$$

for both the left and right sides. This result coupled with the fact that the boundary of the pieces of the planar approximation are found using linear interpolation between the corners leads us to

$$P_n(x, y) \rightarrow f(x, y).$$

Finally, at any other point (x, y) in the unit square the denseness of the horizontal and vertical boundaries of the triangles along with the same combination of separate continuity of f and linear interpolation in the approximating gives us $P_n(x, y)$ converges to $f(x, y)$.

In order to recover the original function it is not necessary that f be separately continuous. The following example shows this.

Example 7 *Let $f(x, y) = \chi_{\{(0,0)\}}$, the characteristic function of $(0,0)$. Because the origin is one of the corners for a triangle the pointwise limit of the planar approximations gives us back the original f . This function is not separately continuous.*

Our next concern is about the set, \mathcal{P} , of functions which are the pointwise limit of these P_n . The previous example shows that \mathcal{P} contains more than just the separately continuous functions. The following examples show that although some Baire one functions and symmetrically quasi-continuous functions are in \mathcal{P} , these classes are not contained in \mathcal{P} .

Example 8 Let a be a point not in T , then $\chi_{\{a\}}$ (which is obviously Baire one) is not planar approximable.

Example 9 Pick (x_0, y_0) so that (x_0, y_0) is not a point on the boundary of any triangle. So there exists a chain of triangles

$$T_1 \supset T_2 \supset T_3 \supset \dots$$

from our development of T with $(x_0, y_0) \in T_i$ for all i . Define $f : [0, 1] \times [0, 1] \rightarrow \mathbb{R}$ by

$$\begin{aligned} f(x_0, y_0) &= 0 \\ f &\equiv 1 \text{ on the boundary of } T_i \text{ if } i \text{ is even} \\ f &\equiv 0 \text{ on the boundary of } T_i \text{ if } i \text{ is odd} \end{aligned}$$

and between the triangles everything is connected continuously. Then f is symmetrically quasi-continuous, but the planar approximations at (x_0, y_0) jump between 0 and 1. Thus f is not planar approximable.

So we know the separately continuous functions are a proper subset of \mathcal{P} and that \mathcal{P} is a proper subset of the Baire one function. This gives the following open question:

Problem 10 Does there exist a complete description of the functions in \mathcal{P} ?

3 Restrictions

In 1922, Blumberg proved the following theorem [1]:

Theorem 11 Let $f : [0, 1] \rightarrow \mathbb{R}$ be an arbitrary function, then there exists a set D , dense in $[0, 1]$, such that the restriction of f to D , $f|_D$, is continuous.

Since then, many ‘‘Blumberg type’’ theorems have been produced. These all have the form if X is a certain type of space and $f : X \rightarrow \mathbb{R}$, then there is a type of dense set $X_0 \subseteq X$ such that the restriction of f to X_0 is some type of generalized continuity. A more specific example from [2] is below. A function f is pointwise discontinuous on X ($f \in PWD(X)$) if the set of continuity points is dense in X .

Theorem 12 *If X is a complete metric dense in itself space, then for every $f : X \rightarrow \mathbb{R}$ there exists a c -dense $X_0 \subset X$ such that $f|_{X_0} \in PWD(X_0)$.*

Our “Blumberg type” theorem also tightens the dense set by making it a c -dense set and the conclusion is changed to the restricted function being separately continuous.

Theorem 13 *For every $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ there exists a c -dense set $D \subset \mathbb{R}^2$ such that $f|_D$ is separately continuous.*

Proof: This can be shown by using either [7] or [3]. The former contains a construction of a c -dense set where every horizontal and vertical line intersects at most one point. The latter refers to modifying a result by Mazurkiewicz ([4]) so that for any positive integer $n \geq 2$ there is a set in \mathbb{R}^2 which meets every line in exactly n points. In either case, there is a c -dense subset D in the plane. For any $(a, b) \in D$ the horizontal and vertical lines $x = a$ and $y = b$ intersect D at finitely many points. So for ε small enough the only points in $(a \pm \varepsilon, b)$ and $(a, b \pm \varepsilon)$ intersected with D will be (a, b) . Thus $f|_D$ will be separately continuous at (a, b) .

4 Extensions

The results for this section have to do with extending a separately continuous function defined on a subset of the plane. Our work is based on the following theorem from [5].

Theorem 14 *Let $H \subset [0, 1]$ and let $f : H \rightarrow \mathbb{R}$ be continuous and bounded on H . Then there exists $h : [0, 1] \rightarrow \mathbb{R}$ such that*

1. h is quasi-continuous on $[0, 1]$,
2. $f = h$ on H , and
3. $H \subset \mathcal{C}(h)$ where $\mathcal{C}(h)$ is the set of points in $[0, 1]$ at which h is continuous.

We begin by changing the domain from the unit interval into the unit square and then show that we can relax the condition on f to a separately continuous function. We then use extra conditions to achieve some corollaries.

Theorem 15 *Let $H \subset [0, 1] \times [0, 1]$ and $f : H \rightarrow \mathbb{R}$ be separately continuous and bounded on H . Then there exists $h : [0, 1] \times [0, 1] \rightarrow \mathbb{R}$ such that*

1. h is quasi-continuous on $[0, 1] \times [0, 1]$,
2. $f = h$ on H , and
3. $H \subset \mathcal{C}(h)$ where $\mathcal{C}(h)$ is the set of points interior to $[0, 1] \times [0, 1]$ at which h is separately continuous.

Proof: All we really need to do illustrate how $f(x, y)$ is to be defined for (x, y) on the boundary of H where there are horizontal and/or vertical lines in H approaching the point, and then how to extend it to any points in $[0, 1] \times [0, 1] \setminus \overline{H}$. It will then be obvious that properties 1, 2, and 3 are true. From the separate continuity of f we should approach a point on the boundary of H from either a horizontal or vertical direction. What we really need to determine is which direction we choose.

For every (x, y) where f is defined on both the horizontal and vertical line through (x, y) let

$$f(x, y) = \liminf_{\substack{t \rightarrow y \\ (x, t) \in H}} f(x, t).$$

For every (x, y) where f is defined on the vertical line through (x, y) , but not the horizontal line through the point, then

$$f(x, y) = \liminf_{\substack{t \rightarrow y \\ (x, t) \in H}} f(x, t).$$

Finally, for every (x, y) in the boundary of H to which f has not been extended we define f on the horizontal line through (x, y) , but not on the vertical line through the point, then

$$f(x, y) = \liminf_{\substack{s \rightarrow x \\ (s, y) \in H}} f(s, y).$$

Now f is defined on \overline{H} . If $[0, 1] \times [0, 1] \setminus \overline{H}$ is non-empty we can use continuous x -sections to finish defining f .

Example 16 *In general, we cannot replace 1. with*

1. *h is symmetrically quasi-continuous on $[0, 1] \times [0, 1]$.*

Proof: Let $H = H_1 \cup H_2 \cup H_3 \cup H_4$ where

$$\begin{aligned} H_1 &= [0, 1/2) \times [0, 1/2) & H_3 &= (1/2, 1] \times [0, 1/2) \\ H_2 &= [0, 1/2) \times (1/2, 1] & H_4 &= (1/2, 1] \times (1/2, 1] \end{aligned}$$

Define f to be 0 on H_1 and H_4 while f is 1 on H_2 and H_3 . It is impossible to extend f to the point $(1/2, 1/2)$ and have it be symmetrically quasi-continuous there.

Corollary 17 *If $H = \cup H_i$ where the $\overline{H_i}$ are pairwise disjoint then we can modify the proof so that the extension of f is symmetrically quasi-continuous.*

Proof: Because the $\overline{H_i}$ are pairwise disjoint the set $[0, 1] \times [0, 1] \setminus \cup \overline{H_i}$ is an open set and then h can be extended to be continuous on this open set.

Any function which is separately continuous must also be a Baire one function. The proof of this is due to Lebesgue and is quite elegant. From the Baire one property we arrive at this corollary:

Corollary 18 *If $H = \cup H_i$ where the $\overline{H_i}$ are pairwise disjoint, then since f is Baire one the extension is Baire one.*

Proof: This is an immediate consequence of h being continuous on $[0, 1] \times [0, 1] \setminus \cup \overline{H_i}$ and f being Baire one on H .

5 Linear, not separate, continuity

Related to the separately continuous functions are the linearly continuous functions. A function f is linearly continuous at (x, y) if it is continuous with respect to every line l passing through the point. An early example of a function which is linearly continuous, but not continuous, at the origin was given by Young & Young in [10]. We repeat their example.

Example 19 We will define the function $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ for the first quadrant only. The other quadrants will then be determined by reflection about the axes. Let P represent the parabola $y = x^2$. On both the x -axis and y -axis define f to be zero. Between the y -axis and the graph of P , let $f(x, y) = x^2/y$. Between the graph of P and the x -axis, let $f(x, y) = y/x^2$. Finally, on the parabola itself (except at the origin, where f is zero) set $f(x, y) = 1$. It is obvious that f is continuous at every point except the origin, However, for any line $y = mx$ in the first quadrant we eventually have

$$f(x, y) = \frac{x^2}{y} = \frac{x}{m},$$

which is continuous at the origin.

We note that Young & Young did more than just give this one example. They take this result and create several more examples, culminating in a function which is linearly continuous, but is discontinuous at uncountably dense many points.

Our result is another one about extensions in the flavor of [5]. This time f is begins as linearly continuous and is linearly continuous in the conclusion.

Theorem 20 Let $f : H \subset [0, 1] \times [0, 1] \rightarrow \mathbb{R}$ be a bounded, linearly continuous function. If $H = \cup_{i=1}^n H_i$, where $\overline{H_i}$ are pairwise disjoint, then there exists an extension $h : [0, 1] \times [0, 1] \rightarrow \mathbb{R}$ such that h is linearly continuous.

Proof: Since there are only finitely many H_i we can achieve linearly continuity after extending f to each H_i 's boundary. For an arbitrary point (x, y) on the boundary of H_i we can define $f(x, y)$ to be

$$\lim_{\substack{(s, t) \rightarrow (x, y) \\ (s, t) \in l}} f(s, t)$$

where l is any line segment in the interior of H_i with (x, y) as an endpoint.

The next example shows that without additional assumptions it is necessary to have only finitely many H_i in order to achieve linear continuity

Example 21 This will not necessarily work if $H = \cup_{i=1}^{\infty} H_i$.

Proof: Define H_i as $[2^{-2i-2}, 2^{-2i-1}] \times [0, 1]$ and define f on H_i to be 1 if i is odd and 0 if i is even. There is no way to define $f(0, 0)$ so that it is linearly continuous at the origin with respect to the line $y = 0$.

Theorem 22 *If, instead of having finitely many H_i we have $H = \bigcup_{i=1}^{\infty} H_i$, $\overline{H_i}$ are pairwise disjoint, and no line intersects infinitely many $H = \overline{H_i}$, then we can make the function h be linearly continuous.*

Proof: This holds since for a small enough neighborhood of the point (x, y) in the boundary of H_i only finitely many H_j $j \neq i$ can be contained.

We closed by noting that our earlier section on restrictions can also be applied to linearly continuous functions. This is because Mauldin's result [3] concerns a c -dense set which meets *any* line in at most n places.

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Zbigniew Piotrowski, Department of Mathematics, Youngstown State University, Youngstown, OH 45044, USA email: zpiotr@math.yosu.edu

Robert W. Vallin, Department of Mathematics, Slippery Rock University of PA, Slippery Rock, PA 16057, USA email: robert.vallin@sru.edu